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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**T.P. MCKEE, et al.**

SerialNo.: 10/692,324

Filed: October 23, 2003

For: EXTENSIBLE USER CONTEXT  
SYSTEM FOR DELIVERY OF  
NOTIFICATIONS

Atty. Docket No.: 003797.01266

Group Art Unit: 2194

Examiner: Fahd Patel

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure. A copy of the non-U.S. cited references are attached together with an International Search Report from a related application.

Transmitted herewith is:

- ☒ [X] A PTO/SB/08a form
- ☐ [ ] A Background Art Information Paper
- ☒ [X] A copy of each non-U.S. item of information listed on the PTO/SB/08a form
- ☐ [ ] Concise explanation of non-English language information
  - ☐ [ ] concise explanation of relevance:
  - ☐ [ ] English translation of foreign language information
  - ☐ [ ] English language version of foreign patent office report citing information
  - ☐ [ ] English language abstract(s) of foreign patent document(s)

- ☐ This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. \*\*\*\*, filed \*\*\*. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.

1. ☐ This Information Disclosure Statement is filed before the later of:
- three months from the filing date of this national application;
  - three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
  - the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. ☒ This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:
- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
  - a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

☐ a fee under 37 CFR 1.17(p) for \$180.00; or

☒ a certification as stated below.

3. ☐ This Information Disclosure Statement is filed before payment of the issue fee, but after either
- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;
  - a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

☐ a certification, as stated below; and

☐ the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification under 1.97(e)1 or 1.97(e)2

The undersigned states:

☐ Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement (e1 certification); or

☒ No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (e2 certification).

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It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
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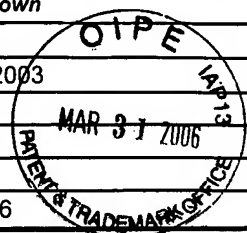
## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	2194
Examiner Name	Fahd Patel
Attorney Docket Number	003797.01266



### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US - 5,388,196		PAJAK, et al.	02/1995	
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		US 2006/0020586		PROMPT, et al.	01/2006	

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Cohen, et al., "A Case for Associative Peer to Peer Overlays" -ACM SIGCOMM Computer Communications Review, Vol. 33, No. 1, January 2003, pp. 95-100.	
		Lui, et al., "Interoperability of Peer-to-Peer File Sharing Protocols" -ACM SIGecom Exchanges, Vol. 3, No. 3, August 2002, pp. 25-33.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Code of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and